

10/512410

DT0 [redacted] d PCT/PTO 25 OCT 2004

Customer No. 22,852  
Attorney Docket No. 03327.2329-00000

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Susumu HOSHI, et al. )  
Application No.: Not Yet Assigned ) Group Art Unit:  
Filed: October 25, 2004 ) Examiner:  
For: **BLOCK COPOLYMER AND** )  
**COMPOSITION THEREOF** )

**U.S. National Phase of International Application No. PCT/JP03/05210**

**MAILSTOP PCT**

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO -SB-08 and cited in the international search report. Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO-SB-08 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

The following are listed on the accompanying PTO-SB-08 and are in a non-English language:

1. Japanese Patent Application 2001-2871 A
2. Japanese Patent Application 2000-26698 A
3. Japanese Patent Application 9-324084 A
4. Japanese Patent Application 2001-354827 A
5. Japanese Patent Application 11-158241 A

In lieu of a statement of relevance or the translation of the non-English documents, enclosed is an English-language international search report from the Japanese Patent Office in the PCT international application, from which this national phase U.S. application is derived, citing these documents and setting forth the relevance thereof.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed

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documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 25, 2004

By:   
Ernest F. Chapman  
Reg. No. 25,961

Enclosures  
EFC/FPD/rac

10/512410

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Complete Known

Application Number

DTOS Rec'd PCT/PTO 25 OCT 2004

Filing Date

October 25, 2004

First Named Inventor

Susumu HOSHI, et al.

Art Unit

Examiner Name

Attorney Docket Number

03327.2329-00000

**U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004****FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 2001-2871	01-09-2001	Asahi Chemical Industry Co., Ltd.		No
		JP 2000-26698	01-25-2000	Denki Kagaku Kogyo Kabushiki Kaisha		No
		JP 9-324084	12-16-1997	Denki Kagaku Kogyo Kabushiki Kaisha		No
		JP 2001-354827	12-25-2001	Asahi Kasei Corp.		No
		JP 11-158241	06-15-1999	Denki Kagaku Kogyo Kabushiki Kaisha		No

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>

Examiner  
SignatureDate  
Considered